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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
Immusonic-004

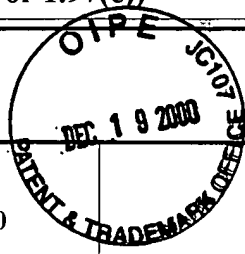
In Re Application Of: Hunter, et al

Serial No.  
09/707,582

Filing Date  
6 November 2000

Examiner

Group Art Unit



Title:

**The Use of Beta 1,3 - Glucan - Containing Compositions to Potentiate Immune Responses by  
Upregulating the Expression of Costimulatory Molecules**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_) on

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Signature \_\_\_\_\_

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence \_\_\_\_\_

Typed or Printed Name of Person Mailing Correspondence \_\_\_\_\_

\*This certificate may only be used if paying by deposit account.

*William P Ramey, III*  
Signature

Dated: 12/19/00

William P. Ramey, III  
Reg. # 44,295  
The Matthews Firm  
1900 West Loop South, Suite 1800  
Houston, Texas 77027  
713-355-4200 Telephone  
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CC:

1220 N 22 04 CO \$

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Hunter, et al

Docket No.

Immasonic-004

Serial No.

09/07/2000

Filing Date

6 November 2000

Examiner

Group Art Unit

Invention:

The Use of Beta 1,3 - Glucan - Containing Compositions to Potentiate Immune Responses by  
regulating the Expression of Costimulatory Molecules

I hereby certify that the following correspondence:

Transmittal Letter and all documents referenced therein

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

19 December 2000

(Date)

Matt Robinson

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL617998936US

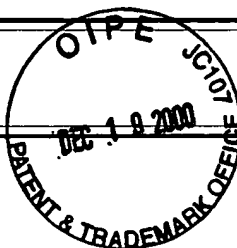
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TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
Immusonic-004

In Re Application Of: Hunter, et al



Serial No.  
09/707,582

Filing Date  
6 November 2000

Examiner

Group Art Unit

Title:

The Use of Beta 1,3 - Glucan - Conatining Compositions to Potentiate Immune Responses by Upregulating the Expression of Costimulatory Molecules

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Petition to Make Special Because of Relation to Biotechnology; Declaration in Support of Petition to Make Special; Check # 14027, in the amount of \$130.00; Assignment & Recordation Cover Sheet; Check # 14026, in the amount of \$40.00; Transmittal of Information Disclosure Statement; Information Disclosure Statement; Form PTO-1449; Cert. of Mailing by Express Mail (#EL617998936US); & Response Postal Card

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$170.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-2166 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

*William P Ramey III*  
Signature

Dated: 12/19/00

William P. Ramey, III  
Reg. # 44,295  
The Matthews Firm  
1900 West Loop South, Suite 1800  
Houston, Texas 77027  
713-355-4200 Telephone  
713-355-9689 Facsimile

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: